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SUBSTITUTE OF FORM 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) JAN 12 2004 PATENT & TRADEMARK OFFICE			Complete if Known	
			Application Number	09/811,739
			Filing Date	March 19, 2001
			First Name Inventor	Anthony J. DeGregoria
			Group Art Unit	3743 3753
			Examiner Name	Christopher Atkinson CIRC
Sheet 1 of 1	Attorney Docket Number		25207/9012	

U.S. PATENT DOCUMENTS

Examiner Initials	U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document
AK	5,983,986	Macintyre et al.	11/16/1999

FOREIGN PATENT DOCUMENTS

Examiner Initials	Country Code	Foreign Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Translation	English Abstract

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JAN 15 2004

TECHNOLOGY CENTER R3700

Examiner Signature	<i>AK</i>	Date Considered	May 29, 2004
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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE
(Rev. 2-92) PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO.: 8455.12

SERIAL NO.: 08/893,833

APPLICANT: Anthony J. DeGregoria and Thomas J. Kaminski

FILING DATE: July 11, 1997

GROUP:

jc8725u.s. pto
09/08/1739
03/19/01

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>LVC</i>	AA	2,931,189	4/5/60	Sigworth	—	—	—
	AB	3,036,444	5/29/62	Cochran	—	—	—
	AC	3,326,214	6/20/67	McCoy	—	—	—
	AD	3,599,443	8/17/71	Paine	—	—	—
	AE	3,978,912	9/7/76	Penny et al.	—	—	—
	AF	4,049,404	9/20/77	Johnson	—	—	—
	AG	4,391,321	7/5/83	Thunberg	—	—	—
	AH	4,411,310	10/25/83	Perry et al.	—	—	—
	AI	4,493,366	1/15/85	Ekman	—	—	—
<i>✓</i>	AJ	4,512,392	4/23/85	van Ee et al.	—	—	—
	AK	4,577,678	3/25/86	Fransenfeld et al.	—	—	—

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

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May 31, 2004

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APPLICANT: Anthony J. DeGregoria and Thomas J. Kaminski

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
LVC	AL	4,589,476	5/20/86	Berner	—	—	—
	AM	4,594,860	6/17/86	Coellner et al.	—	—	—
	AN	4,665,805	5/19/87	Ekman	—	—	—
	AO	4,688,626	8/25/87	Tengesdal	—	—	—
	AP	4,733,718	3/29/88	Schikowsky et al.	—	—	—
	AQ	4,744,409	5/17/88	Berner	—	—	—
	AR	4,754,806	7/5/88	Astle, Jr.	—	—	—
	AS	4,815,522	3/28/89	Thunberg	—	—	—
	AT	4,817,708	4/4/89	Ono et al.	—	—	—
	AU	4,875,520	10/24/89	Steele et al.	—	—	—
	AV	4,952,283	8/28/90	Besik	—	—	—

FOREIGN PATENT DOCUMENTS

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LVC	AW	5,002,116	3/26/91	Hoagland	—	—	—
	AX	5,010,594	4/30/91	Suzuki et al.	—	—	—
	AY	5,050,667	9/24/91	Berner et al.	—	—	—
	AZ	5,273,106	12/28/93	Drake	—	—	—
	BA	5,339,653	8/23/94	DeGregoria	—	—	—
	BB	5,375,649	12/27/94	Nilsen et al.	—	—	—
	BC	D376,842	12/24/96	Franklin et al.	—	—	—
	CJ	5,617,913	4/8/97	DeGregoria et al.	—	—	—

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
LVC	BD	GB 1360064	7/17/74	Great Britain	—	—	✓
LVC	BE	DE 3143088 A1	5/11/83	Federal Republic of Germany	—	—	✓

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

LVC	BF	ASHRAE 62-1989 Standard of American Society of Heating, Refrigeration, and Air Conditioning Engineers Inc., Atlanta, GA (contents page, pp1, 3-26)(1989)					
LVC	BG	"From concept to consumer, Columbus Industries is your single-source solution for custom filters." Columbus Industries, Inc., Ashville, OH (discloses High Efficiency Particulate Air (HEPA) filter) pp. 1-6					
LVC	BH	"Lifebreath™ Central Ventilation Systems", Nutech Energy Systems, Inc., London Ontario, Canada (pp. 1-12)					
LVC	BI	TherMax Energy Recycling Ventilation Systems, "Energy Recycling Ventilation Systems for Improved IAQ", Division of Kooltronic, Inc., Hopewill, New Jersey (34 pages of product information)					

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

ARC	BJ	Douglas Steege, Altech Energy New Aire™ Air-to-Air Heat Exchanger Ventilators, Madison, Wisconsin (1994) 12 pages (6 sheets)
	BK	Honeywell, "The 'Perfect Window' Energy Recovery Ventilator" Product Reference, Golden Valley, Minnesota 9/91 (51 sheets)
	BL	Reay, "Heat Recovery Systems A directory of equipment and techniques", E. & F. N. Spon, 1979, pp. 17-35
	BM	Merrill, "Maintaining Your Home", Housing Specialist, University of Wisconsin-Extension - Cooperative Extension (1989) (pp 1-2 on 1 sheet)
	BN	"HRV700i" Venmar Ventilation, Inc. © 1995, Saskatchewan, Canada (pp 1-2 on 1 sheet)
	BO	"The Fresh Approach to Improved Air Quality" RAYDOT Incorporated, 10-92 10M TH, Cokato, Minnesota (pp 1-4)
	BP	"Indoor Air Quality-An Issue That Hits You Where You Live", Guardian™, Broan®, Hartford, Wisconsin, 1993 (pp. 1-12)
	BQ	"Energy Recovery Wheel", CARNES® Company, Verona, Wisconsin, Catalog HW-01G, November 1989 (pp. 1-12)
	BR	"General Product Catalog", CARNES® Company, Verona, Wisconsin, Catalog CG-09K, June 1993 (pp. 1-20)
	BS	"Energy Recovery Ventilator", CARNES® Company, Verona, Wisconsin, Catalog HV-01E, September 1991 (pp. 1-14)
	BT	"Energy Recovery Wheel Systems", CARNES® Company, Verona, Wisconsin, Catalog HS-01E, April 1991 (pp. 1-28)
	BU	Merrill, "Is Home Ventilation a Luxury?", Housing Specialist, University of Wisconsin-Extension - Cooperative Extension (1 page)
	BV	Indoor Air Pollution, An Introduction for Health Professionals (pp i, ii)
	BW	"Indoor Air Update - 1996 Agenda", College of Agricultural and Life Sciences - University of Wisconsin-Madison (1 page)
	BX	"Indoor Air Quality" UW-Extension Family Living (pp 1-2 on 1 sheet)
	BY	"Indoor Air Quality Tools for Schools", IAQ Coordinator's Guide, (pp. ii-iv, 1-2)
	BZ	Merrill et al., "Maintaining Your Home: Lead-Based Paint Hazards", Cooperative Extension Publications, Madison, Wisconsin, 1-9-95 (pp1-3 and resources sheet)
	CA	"Carbon Monoxide", Wisconsin Division of Health, January 1993 (3 sheets)
✓	CB	Chisholm, "Developments in Heat Exchanger Technology-1" National Engineering Laboratory, 1980 (pp. 233-257)
	CC	Walker, "Industrial heat exchangers a basic guide", Chemical Engineering, 1983 (pp. 311-321)

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APPLICANT: DeGregoria et al.

(Use several sheets if necessary)

FILING DATE: July 11, 1997

GROUP:

3407 *LVC* 3753

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>LVC</i>	CJ	4,909,307	3/20/90	Besik	—	—	—
<i>LVC</i>	CK	5,515,909	5/14/96	Tanaka	—	—	—

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